Self-similar fractals and common hypercyclicity 45th Summer Symposium in Real Analysis

Fernando Costa Jr.

Laboratoire de Mathématiques d'Avignon Avignon Université

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Common hypercyclicity though a Baire argument

Theorem (Basic Criterion)

Let Λ be a compact topological space and let $(T_{\lambda})_{\lambda \in \Lambda}$ be a continuous family of operators acing on the same Banach space X. Suppose that, for every pair $(u, v) \in \mathcal{D} \times \mathcal{D}$, every $\varepsilon > 0$, there exist $\lambda_1, \ldots, \lambda_q \in \Lambda$, sets $\Lambda_1, \cdots, \Lambda_q$ with $\lambda_i \in \Lambda_i$ and positive integers n_1, \ldots, n_q such that

1. $\bigcup_{i} \Lambda_{i} \supset \Lambda,$ 2. $\|\sum_{i} S_{\lambda_{i}}^{n_{i}} v\| \leq \varepsilon,$ 3. for all i = 1, ..., q and all $\lambda \in \Lambda_{i}, \|\sum_{j \neq i} T_{\lambda}^{n_{i}} S_{\lambda_{j}}^{n_{j}} v\| \leq \varepsilon,$ 4. for all i = 1, ..., q and all $\lambda \in \Lambda_{i}, \|T_{\lambda}^{n_{i}} u\| \leq \varepsilon$ 5. for all i = 1, ..., q and all $\lambda \in \Lambda_{i}, \|T_{\lambda}^{n_{i}} S_{\lambda_{i}}^{n_{i}} v - v\| \leq \varepsilon.$ Then $\bigcap_{\lambda \in \Lambda} HC(T_{\lambda})$ is a dense G_{δ} subset of X. Let us consider again the family of weights $w(a) = (w(a))_{a \in [1,2]}$ given by $w_1(a) \cdots w_n(a) = \exp(an)$, $T_a := B_{w(a)}$ and $S_a := F_{w(a)^{-1}}$. For the property (5) of the Basic Criterion, we get

$$||T_{\lambda}^{n_i}S_{\lambda_i}^{n_i}v - v|| \lesssim n_i|\lambda - \lambda_i|.$$

Thus, the covering of [1, 2] has to be made of intervals $\Lambda_1, \ldots, \Lambda_q$ with diam $(\Lambda_i) \leq \frac{\varepsilon}{n_i}$.

We can take $n_i = iN$ and define a partition of the form



>>> Note that $n_i = iN$ is the absolute best that we can do! $<\!<\!<\!<$

General criterion in one dimension

Theorem (Costakis and Sambarino (2004))

Assume that, for each $v \in \mathcal{D}$ and each compact interval $K \subset \Lambda$, the following properties hold true, where $\lambda, \mu \in K$.

- (1) There exist $\kappa \in \mathbb{N}$ and a summable sequence of positive numbers $(c_k)_k$ such that
 - $\|T_{\lambda}^{n+k}S_{\mu}^{n}(v)\| \leq c_{k} \text{ whenever } n \in \mathbb{N}, k \geq \kappa \text{ and } \mu \leq \lambda;$ $\|T_{\lambda}^{n}S_{\mu}^{n+k}(v)\| \leq c_{k} \text{ whenever } n \in \mathbb{N}, k \geq \kappa \text{ and } \lambda \leq \mu.$

(2) Given $\eta > 0$, one can find $\tau > 0$ such that, for all $n \ge 1$,

$$0 \le \mu - \lambda \le \frac{\tau}{n} \implies \|T_{\lambda}^n S_{\mu}^n(v) - v\| < \eta.$$

Then $\bigcap_{\lambda \in \Lambda} HC(T_{\lambda})$ is a G_{δ} -dense subset of X.

>>> We call this the CS-Criterion. \ll

We are interested in the 2-dimensional case. Let $\Lambda \subset \mathbb{R}^2_+$ compact and

$$T_{\lambda} = B_{w(x)} \times B_{w(y)}, \quad \forall \lambda = (x, y) \in \Lambda,$$

acting on $X = \ell_p(\mathbb{N}), p \in [1, +\infty)$ or $X = c_0(\mathbb{N})$, where

$$w_1(a)\cdots w_n(a) = \exp(an^{\alpha}), \quad \alpha \in (0,1].$$

In order to apply the basic criterion, we we want:

- to cover Λ , say by squares $\Lambda_1, \ldots, \Lambda_q$;
- to tag these squares, say by $\lambda_1, \ldots, \lambda_q$;
- to associate them to powers $n_1 < \cdots < n_q$.

We write these tags in the form $\lambda_j = (x_j, y_j)$.

"Jump" constraints

When you try to verify condition (2) of the Basic Criterion, you fix $i \in \{1, \ldots, q\}, \lambda_i \in \Lambda_i$ and you find something like

$$\sum_{j \neq i} B_{w(x_i)}^{n_i} F_{w(x_j)^{-1}}^{n_j}(v) \approx \sum_{j=i+1}^q \frac{1}{\exp((x_j - x_i)n_j^{\alpha} + x_i(n_j - n_i)^{\alpha})} e_{n_j - n_i},$$

and another symmetrical condition for the second coordinate. Hence, for this to be small, we need at least, for all $1 \le i < j \le q$,

$$(x_j - x_i)n_j^{\alpha} + x_i(n_j - n_i)^{\alpha} > 0$$
 and $(y_j - y_i)n_j^{\alpha} + y_i(n_j - n_i)^{\alpha} > 0.$

This is a multi-dimensional difficulty. Either $x_j < x_i$ or $y_j < y_i$ with j > i is called a "backward jump". They cannot be avoided.

Note that we can rewrite these constraints into something like

$$\|\lambda_j - \lambda_i\|_{\infty} \le D \frac{(n_j - n_i)^{\alpha}}{n_j^{\alpha}}, \text{ for some } D > 0.$$

Almost there

Theorem (Bayart, Menet, FCJr, 2022) For any square $\Lambda \subset \mathbb{R}^2_+$ (and X, $(w_n(x))_{x>0}$ as before),

$$\alpha < \frac{1}{2} \implies \bigcap_{\lambda \in \Lambda} HC(B_{w(x)} \times B_{w(y)}) \neq \emptyset,$$

$$\alpha > \frac{1}{2} \implies \bigcap_{\lambda \in \Lambda} HC(B_{w(x)} \times B_{w(y)}) = \emptyset.$$

(This is a consequence of a generalized version of the CS-Criterion).

The limit case $\alpha = \frac{1}{2}$ corresponds to the weights

$$w_1(x)\cdots w_n(x) = \exp(x\sqrt{n}).$$

Ideal bi-dimensional CS-Criterion

Theorem (bi-dimensional CS-Criterion)

Assume that there is D > 0 such that, for each $u \in \mathcal{D}$ and each compact square K, the following properties hold true, where $\lambda, \mu \in K$.

(1) There exist $\kappa \in \mathbb{N}$ and a summable sequence of positive numbers $(c_k)_k$ such that, whenever $\|\lambda - \mu\|_{\infty} \leq D\sqrt{\frac{k}{n+k}}, n, k \in \mathbb{N}, k \geq \kappa$,

$$\left\|T_{\lambda}^{n+k}S_{\mu}^{n}u\right\| \leq c_{k} \quad and \quad \left\|T_{\lambda}^{n}S_{\mu}^{n+k}u\right\| \leq c_{k}.$$

(2) Given $\eta > 0$, there is $\tau > 0$ such that, for all $n \ge 1$,

$$\|\lambda - \mu\| \le \frac{\tau}{\sqrt{n}} \implies \|T_{\lambda}^n S_{\mu}^n u - u\| < \eta.$$

Then $\bigcap_{\lambda \in \Lambda} HC(T_{\lambda})$ is a G_{δ} -dense subset of X.

We would get a perfect generalization of the CS-Criterion if the sequence of powers $(n_i)_i$ could be taken as $n_i = iN$.

One can think of this as a covering game: we are given initial values $\tau > 0$ and $N \in \mathbb{N}$ and we have to conveniently cover our parameter set by squares of sides $\frac{\tau}{\sqrt{N}}, \frac{\tau}{\sqrt{2N}}, \frac{\tau}{\sqrt{3N}}, \dots$ and so on.

How to organize these squares is the difficult part of the process.

There are multiple ways of organizing the pieces



We can also consider a canonical dyadic covering, that is, by 4^m squares of side $1/2^m$. There are many ways of ordering this covering.

Λ_{13}	Λ_{14}	Λ_{15}	Λ_{16}		Λ_{16}	Λ_{15}	Λ_{14}	Λ_{13}	Λ_{16}	Λ_{15}	Λ_{12}	Λ_{11}
Λ_9	Λ_{10}	Λ_{11}	Λ_{12}		Λ_9	Λ_{10}	Λ_{11}	Λ_{12}	Λ_{13}	Λ_{14}	Λ_9	Λ_{10}
Λ_5	Λ_6	Λ_7	Λ_8		Λ_8	Λ_7	Λ_6	Λ_5	Λ_4	Λ_3	Λ_8	Λ_7
Λ_1	Λ_2	Λ_3	Λ_4		Λ_1	Λ_2	Λ_3	Λ_4	Λ_1	Λ_2	Λ_5	Λ_6
(1) impossible					(2) impossible				(3) ok for $\alpha < 1/2$			

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Could dyadic partitions be enough for $\alpha = \frac{1}{2}$?



Suppose that we are allowed to take the best sequence $n_i = iN$.

For the dyadic partition of order m, we divide the square in 4^m sub-squares of side $\frac{1}{2^m}$. Our covering has 4^m parts of the form

$$\Lambda_i = \left[x_i, x_i + \frac{\tau}{\sqrt{n_i}}\right] \times \left[y_i, y_i + \frac{\tau}{\sqrt{n_i}}\right],$$

Figure : Dyadic partition of order 4 for $i = 1, \ldots, 4^m$.

Since $n_i = iN$, the last part Λ_{4^m} is a square of side

$$\frac{\tau}{\sqrt{n_{4^m}}} = \frac{\tau}{\sqrt{4^m N}} = \frac{1}{2^m} \frac{\tau}{\sqrt{N}} \ll \frac{1}{2^m},$$

thus Λ_{4^m} will never cover a dyadic sub-square of order m, for $N \gg 0$.

































A new approach: using pseudo-Hilbert curves





$$\frac{\tau}{\sqrt{N}} = \frac{1}{2}$$

$$\boxed{\frac{\tau}{\sqrt{N}} = \frac{1}{2}}$$



$$\frac{\tau}{\sqrt{N}} = \frac{1}{2}$$
$$\left[\frac{\tau}{\sqrt{N}} = \frac{1}{2}\right]$$



$$\frac{\tau}{\sqrt{N}} = \frac{1}{2}$$

$$\boxed{\frac{\tau}{\sqrt{N}} = \frac{1}{2}}$$



Value used:

$$\frac{\tau}{\sqrt{N}} = \frac{1}{2}$$

$$\left| \frac{\tau}{\sqrt{N}} = \frac{1}{2} \right|$$

Round 1: victory!



$$\frac{\tau}{\sqrt{2N}} > \frac{\tau}{\sqrt{3N}} > \frac{\tau}{\sqrt{4N}} = \frac{1}{2^2}$$

$$\frac{1}{\frac{1}{2^2}}$$



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$$\frac{1}{\frac{1}{2^2}}$$


Values used:

$$\frac{\tau}{\sqrt{2N}} > \frac{\tau}{\sqrt{3N}} > \frac{\tau}{\sqrt{4N}} = \frac{1}{2^2}$$

$$\frac{1}{\frac{1}{2^2}}$$



Values used:

$$\frac{\tau}{\sqrt{2N}} > \frac{\tau}{\sqrt{3N}} > \frac{\tau}{\sqrt{4N}} = \frac{1}{2^2}$$

$$\frac{1}{\frac{1}{2^2}}$$

Round 2: victory!



Values used:

$$\frac{\tau}{\sqrt{5N}} > \frac{\tau}{\sqrt{6N}} > \dots > \frac{\tau}{\sqrt{16N}} = \frac{1}{2^3}$$



Values used:

$$\frac{\tau}{\sqrt{5N}} > \frac{\tau}{\sqrt{6N}} > \dots > \frac{\tau}{\sqrt{16N}} = \frac{1}{2^3}$$





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Round 3: victory!



Values used:







Values used:







Values used:







Values used:







Values used:



to make $48=3\times4^2$ pieces



Round 4: victory!







Values used:



to make $192=3\times4^3$ pieces







Round 5: victory. The game is over.

How the enumeration of our covering looks like



Ordering of $\Lambda_1, \Lambda_2, \ldots, \Lambda_{256}$ such that $K \subset \bigcup_{i=1}^{256} \Lambda_i$

Sierpiński gasket



Obtaining the Sierpiński gasket through triangles

Sierpiński gasket



Obtaining the Sierpiński gasket through squares

Dimension of the gasket

Let Γ be a unitary Sierpiński gasket inside $[0, +\infty)^2$. The similarity dimension (= Hausdorff dimension) of the gasket is $\dim_{\mathcal{H}} = \frac{\log 3}{\log 2}$. Up to now, we knew that the weights of the form

$$w_1(x)\cdots w_n(x) = \exp(xn^{\alpha})$$

induce a bi-dimensional family $(B_{w(x)} \times B_{w(y)})_{(x,y)\in\Gamma}$ with a common hypercyclic vector whenever $\alpha < \frac{1}{\dim_{\mathcal{H}}\Gamma} = \frac{\log 2}{\log 3}$.

Applying the new approach to the gasket

The limit case we aim to address is $\alpha = \frac{1}{\frac{\log 3}{\log 2}} = \frac{\log 2}{\log 3}$.

Once again, we are given values $\tau > 0, N \in \mathbb{N}$ and we want to construct a covering by squares of sides

$$\frac{\tau}{N^{\frac{\log 2}{\log 3}}}, \frac{\tau}{(2N)^{\frac{\log 2}{\log 3}}}, \frac{\tau}{(3N)^{\frac{\log 2}{\log 3}}}, \dots$$

to make pieces for our covering.

$$\frac{\tau}{N^{\frac{\log 2}{\log 3}}} = \frac{1}{2}.$$

The covering game of the gasket: round 1



The covering game of the gasket: round 1



Value used:












Round 1: victory!



$$\frac{\tau}{(2N)^{\frac{\log 2}{\log 3}}} > \frac{\tau}{(3N)^{\frac{\log 2}{\log 3}}} = \frac{1}{2^2}$$



$$\frac{\tau}{(2N)^{\frac{\log 2}{\log 3}}} > \frac{\tau}{(3N)^{\frac{\log 2}{\log 3}}} = \frac{1}{2^2}$$



$$\frac{\tau}{(2N)^{\frac{\log 2}{\log 3}}} > \frac{\tau}{(3N)^{\frac{\log 2}{\log 3}}} = \frac{1}{2^2}$$

$$\begin{bmatrix} \frac{1}{2^2} \end{bmatrix}$$



$$\frac{\tau}{(2N)^{\frac{\log 2}{\log 3}}} > \frac{\tau}{(3N)^{\frac{\log 2}{\log 3}}} = \frac{1}{2^2}$$



Values used:

$$\frac{\tau}{(2N)^{\frac{\log 2}{\log 3}}} > \frac{\tau}{(3N)^{\frac{\log 2}{\log 3}}} = \frac{1}{2^2}$$

$$\begin{bmatrix} \frac{1}{2^2} \end{bmatrix}$$

Round 2: victory!



















Values used:



Round 3: victory!



















Round 4: victory! The game is over.

How the enumeration of our covering looks like



Ordering of $\Lambda_1, \Lambda_2, \ldots, \Lambda_{27}$ such that $\Gamma \subset \bigcup_{i=1}^{27} \Lambda_i$

Koch snowflake



Figure : The von Koch curve forms the well known Koch snowflake. It has 4 similarities and contraction ration $\frac{1}{3}$. Its has Hausdorff dimension $\frac{\log(4)}{\log(3)}$.

We can apply our method by making groups of 3 patches of parts.

Minkowski sausage



Figure : The Minkowski sasus age has 8 similarities with $\frac{1}{4}$ contraction ration. Its Hausdorff dimension is 1.5.

We can apply our method by making groups of 7 patches of parts.

A new concept of dimension?

Definition. Let $d \geq 1$ and $\Lambda \subset \mathbb{R}^d$ be compact. We say that Λ has homogeneously ordered box dimension at most $\gamma \in (0, d]$ if there exist $r \in [\![2, +\infty[\![, \rho > 0 \text{ and, for all } m \geq 1, \text{ a compact covering } (\Lambda_{\mathbf{i}})_{\mathbf{i} \in I_r^m} \text{ of } \Lambda$ satisfying the following.

(i) For all $\mathbf{i} \in I_r^m$, $\operatorname{diam}(\Lambda_{\mathbf{i}}) \leq \rho \left(\frac{1}{r^{1/\gamma}}\right)^m$. (ii) For all $\mathbf{i} = (i_1, ..., i_m, i_{m+1}) \in I_r^{m+1}$,

 $\Lambda_{i_1,...,i_{m+1}} \subset \Lambda_{i_1,...,i_m}.$

(iii) For all $\mathbf{i} = (i_1, ..., i_m) \in I_r^m$ and all $j \in \{2, ..., r\}$,

$$\Lambda_{\mathbf{i},j-1,r} \cap \Lambda_{\mathbf{i},j,1} \neq \emptyset.$$

The homogeneously ordered box dimension of Λ (HBD° for short) is the smallest number γ such that Λ has homogeneously ordered box dimension at most γ . We will denote this number by dim[°]_{HB}(Λ).

A full generalization of the CS-criterion

Theorem (Generalized Costakis-Sambarino Criterion)

Let $d \in \mathbb{N}$ and $\gamma > 0$ and let Λ be a compact subset of \mathbb{R}^d having homogeneously ordered box dimension at most γ . Assume that there is D > 0 such that, for all $u \in \mathcal{D}$ and all compact $K \subset \Lambda$, the following properties hold true, where all parameters λ, μ belong to K.

(1) There exist $\kappa \in \mathbb{N}$ and a sequence of positive numbers $(c_k)_{k \in \mathbb{N}}$ such that $\sum_{k=\kappa}^{\infty} c_k < \infty$ and, whenever $\|\lambda - \mu\|_{\infty} \leq D \frac{k^{1/\gamma}}{(n+k)^{1/\gamma}}$, with $n, k \in \mathbb{N}_0$ and $k \geq \kappa$, we have

$$\|T_{\lambda}^{n+k}S_{\mu}^{n}u\| \leq c_{k}$$
 and $\|T_{\lambda}^{n}S_{\mu}^{n+k}u\| \leq c_{k}.$

(2) Given $\eta > 0$, one can find $\tau > 0$ such that, for all $n \in \mathbb{N}$,

$$\|\lambda - \mu\|_{\infty} \le \frac{\tau}{n^{1/\gamma}} \implies \|T_{\lambda}^{n}S_{\mu}^{n}u - u\| < \eta.$$

Then $\bigcap_{\lambda \in \Lambda} HC(T_{\lambda})$ is a G_{δ} -dense subset of X.

Applications

Let $d \geq 1$ and $\Gamma \subset \mathbb{R}^d$ compact. We write $\lambda = (x_1, \ldots, x_d)$ for any $\lambda \in \mathbb{R}^d$. Consider $\alpha \in (0, 1/d]$ and a family $(B_{w(x_1)} \times \cdots \times B_{w(x_d)})_{\lambda \in \Gamma}$ induced by weights $(w(x))_{x \in \mathbb{R}}$ satisfying

$$w_1(x)\cdots w_d(x) \approx \exp(xn^{\alpha}).$$

Theorem

If Γ has HBO° at most $\gamma \leq d$ and $0 < \alpha \leq 1/\gamma$, then

$$\bigcap_{\lambda \in \Gamma} HC(B_{w(x_1)} \times \stackrel{d}{\cdots} \times B_{w(x_d)}) \neq \emptyset.$$

Corollary

If Γ is a β -Hölder curve and $0 < \alpha \leq \beta$, then

$$\bigcap_{\lambda \in \Gamma} HC(B_{w(x_1)} \times \stackrel{d}{\cdots} \times B_{w(x_d)}) \neq \emptyset.$$

IFS, GIFS and optimal parametrizations



Construction of the Sierpiński carpet.

IFS, GIFS and optimal parametrizations



Ordering the carpet (Rao and Zhang, 2016).

Some open questions

•
$$\sum \frac{1}{F(n)^2} = +\infty \implies \bigcap_{x,y>0} HC(B_{w(x)} \times B_{w(y)}) \neq \emptyset$$
?

• For $\mathcal{C} \subset \mathbb{R}^2_+$ the Cantor dust with dissection ratio 1/4,

$$\bigcap_{(x,y)\in\mathcal{C}} e^x B \times e^y B \neq \varnothing?$$

• Can we, more generally, generalize our result for totally disconnected fractals?

• Can we adapt optimally our technique to self-similar fractals with non-uniform contraction ratio?

• For $\mathcal{T} \subset \mathbb{R}^2_+$ the 1-dimensional Takagi curve,

$$\bigcap_{(x,y)\in\mathcal{T}} e^x B \times e^y B \neq \emptyset?$$

Frame Title

Thanks for your attention!

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